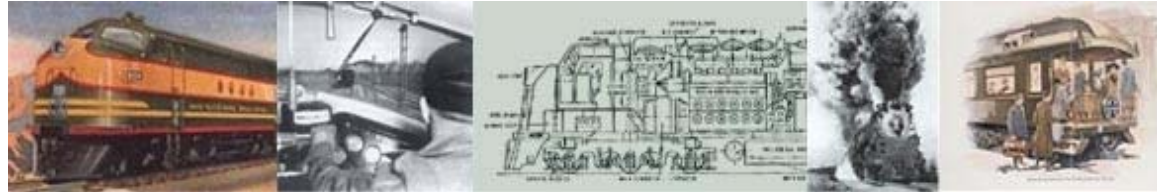


*John W. Barriger III National Railroad Library*

AT THE UNIVERSITY OF MISSOURI - ST. LOUIS



**Report on the Barriger Library Funds**

**Supplementary Information**

for the Period from the Effective Affiliation Date of  
April 1, 1997 through February 28, 2011

April 29, 2011

John W. Barriger III National Railroad Library  
Special Collection of the St. Louis Mercantile Library at UM-St. Louis  
**Supplementary Information - April 29, 2011**  
**Table of Contents**

<b>DESCRIPTION</b>	<b>PAGE</b>	<b>PAGE</b>
	<b>Balance Sheet</b>	<b>Income Statement and Changes in Fund Balance</b>
<b><i>Barriger Library Funds</i></b>		
John W. Barriger III Library Endowed Fund	1	12
John W. Barriger III Library Expendable Funds	1	12
Elizabeth T. Barriger Book Endowed Fund	2	13
Elizabeth T. Barriger Book Expendable Fund	2	13
Oral History Project Expendable Fund	3	14
Photo Negative Project Expendable Fund (CLOSED IN 1999)	3	14
AAR Scholarship Endowed Fund	4	15
AAR Scholarship Expendable Fund	4	15
Union Pacific Acquisitions Endowed Fund	5	16
Union Pacific Acquisitions Expendable Fund	5	16
CN Acquisitions Endowed Fund	6	17
CN Acquisitions Expendable Fund	6	17
Robert McKnight Curatorship Endowed Fund	7	18
Robert McKnight Curatorship Expendable Fund	7	18
Barriger Campaign for Excellence & Growth Expendable Fund	8	19
The West the Railroads Made Expendable Fund	8	19
Robert McKnight Manuscript Expendable Fund	9	20
John W. Barriger IV Endowed Funds	9	20
<b><i>Funds Not Available to Barriger Library</i></b>		
Robert McKnight Unitrust	10	21
John W. Barriger Professorship Endowed Fund	11	22
John W. Barriger Professorship Expendable Fund	11	22

John W. Barriger III National Railroad Library  
Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

	April 1, 1997	June 30, 2008	2009	2010	Feb. 28, 2011
--	------------------	------------------	------	------	------------------

***John W. Barriger III Library Endowed Fund***

	April 1, 1997	June 30, 2008	2009	2010	Feb. 28, 2011
<b>Assets:</b>					
Cash and Short-term Funds	-	-	-	-	-
Investment-UM Balanced Pool	201,269	403,864	317,357	333,805	364,890
Total Assets	201,269	403,864	317,357	333,805	364,890
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	201,269	403,864	317,357	333,805	364,890
Total Liabilities and Fund Balance	201,269	403,864	317,357	333,805	364,890

***John W. Barriger III Library Expendable Funds\****

	April 1, 1997	June 30, 2008	2009	2010	Feb. 28, 2011
<b>Assets:</b>					
Cash and Short-term Funds	-	17,130	16,262	32,228	41,181
Investment-UM Balanced Pool	-	-	-	-	-
Total Assets	-	17,130	16,262	32,228	41,181
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	836	-	1,442
Fund Balance	-	17,130	15,426	32,228	39,739
Total Liabilities and Fund Balance	-	17,130	16,262	32,228	41,181

\* From FY 1997 to FY 2000, there were two separate John W. Barriger III Library Expendable Funds

John W. Barriger III National Railroad Library  
Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

	April 1, 1997	June 30, 2008	2009	2010	Feb. 28, 2011
--	------------------	------------------	------	------	------------------

***Elizabeth T. Barriger Book Endowed Fund***

	April 1, 1997	June 30, 2008	2009	2010	Feb. 28, 2011
<b>Assets:</b>					
Cash and Short-term Funds	-	-	-	-	-
Investment-UM Balanced Pool	70,499	120,840	94,956	99,878	109,179
Total Assets	70,499	120,840	94,956	99,878	109,179
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	70,499	120,840	94,956	99,878	109,179
Total Liabilities and Fund Balance	70,499	120,840	94,956	99,878	109,179

***Elizabeth T. Barriger Book Expendable Fund***

	April 1, 1997	June 30, 2008	2009	2010	Feb. 28, 2011
<b>Assets:</b>					
Cash and Short-term Funds	-	4,523	102	3,494	147
Investment-UM Balanced Pool	-	-	-	-	-
Total Assets	-	4,523	102	3,494	147
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	4,523	102	3,494	147
Total Liabilities and Fund Balance	-	4,523	102	3,494	147

John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

	April 1, 1997	June 30, 2008	2009	2010	Feb. 28, 2011
--	------------------	------------------	------	------	------------------

***Oral History Project Expendable Fund***

<b>Assets:</b>					
Cash and Short-term Funds	7,953	13,445	13,860	14,120	14,309
Investment-UM Balanced Pool	-	-	-	-	-
<b>Total Assets</b>	<b>7,953</b>	<b>13,445</b>	<b>13,860</b>	<b>14,120</b>	<b>14,309</b>
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	7,953	13,445	13,860	14,120	14,309
<b>Total Liabilities and Fund Balance</b>	<b>7,953</b>	<b>13,445</b>	<b>13,860</b>	<b>14,120</b>	<b>14,309</b>

***Photo Negative Project Expendable Fund (CLOSED IN 1999)***

<b>Assets:</b>					
Cash and Short-term Funds	882	-	-	-	-
Investment-UM Balanced Pool	-	-	-	-	-
<b>Total Assets</b>	<b>882</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	882	-	-	-	-
<b>Total Liabilities and Fund Balance</b>	<b>882</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>

John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

	April 1, 1997	June 30, 2008	2009	2010	Feb. 28, 2011
--	------------------	------------------	------	------	------------------

***AAR Scholarship Endowed Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	-	-	-	-
Investment-UM Balanced Pool	-	13,058	10,261	10,793	11,798
Total Assets	-	13,058	10,261	10,793	11,798
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	13,058	10,261	10,793	11,798
Total Liabilities and Fund Balance	-	13,058	10,261	10,793	11,798

***AAR Scholarship Expendable Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	2,999	3,721	4,410	5,037
Investment-UM Balanced Pool	-	-	-	-	-
Total Assets	-	2,999	3,721	4,410	5,037
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	2,999	3,721	4,410	5,037
Total Liabilities and Fund Balance	-	2,999	3,721	4,410	5,037

John W. Barriger III National Railroad Library  
Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

	April 1, 1997	June 30, 2008	2009	2010	Feb. 28, 2011
--	------------------	------------------	------	------	------------------

***Union Pacific Acquisitions Endowed Fund***

	April 1, 1997	June 30, 2008	2009	2010	Feb. 28, 2011
<b>Assets:</b>					
Cash and Short-term Funds	-	-	-	-	-
Investment-UM Balanced Pool	-	61,107	48,018	50,507	55,796
Total Assets	-	61,107	48,018	50,507	55,796
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	61,107	48,018	50,507	55,796
Total Liabilities and Fund Balance	-	61,107	48,018	50,507	55,796

***Union Pacific Acquisitions Expendable Fund***

	April 1, 1997	June 30, 2008	2009	2010	Feb. 28, 2011
<b>Assets:</b>					
Cash and Short-term Funds	-	6,618	7,571	10,549	13,349
Investment-UM Balanced Pool	-	-	-	-	-
Total Assets	-	6,618	7,571	10,549	13,349
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	6,618	7,571	10,549	13,349
Total Liabilities and Fund Balance	-	6,618	7,571	10,549	13,349

John W. Barriger III National Railroad Library  
Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

	April 1, 1997	June 30, 2008	2009	2010	Feb. 28, 2011
--	------------------	------------------	------	------	------------------

***CN Acquisitions Endowed Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	-	-	-	-
Investment-UM Balanced Pool	-	25,618	20,131	21,174	23,146
Total Assets	-	25,618	20,131	21,174	23,146
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	25,618	20,131	21,174	23,146
Total Liabilities and Fund Balance	-	25,618	20,131	21,174	23,146

***CN Acquisitions Expendable Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	4,204	5,567	6,874	8,081
Investment-UM Balanced Pool	-	-	-	-	-
Total Assets	-	4,204	5,567	6,874	8,081
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	4,204	5,567	6,874	8,081
Total Liabilities and Fund Balance	-	4,204	5,567	6,874	8,081

John W. Barriger III National Railroad Library  
Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

	April 1, 1997	June 30, 2008	2009	2010	Feb. 28, 2011
--	------------------	------------------	------	------	------------------

***Robert McKnight Curatorship Endowed Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	-	-	-	-
Investment-UM Balanced Pool	-	1,305,578	1,025,925	1,079,097	1,192,087
Total Assets	-	1,305,578	1,025,925	1,079,097	1,192,087
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	1,305,578	1,025,925	1,079,097	1,192,087
Total Liabilities and Fund Balance	-	1,305,578	1,025,925	1,079,097	1,192,087

***Robert McKnight Curatorship Expendable Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	4,177	1,296	897	20,870
Investment-UM Balanced Pool	-	-	-	-	-
Total Assets	-	4,177	1,296	897	20,870
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	4,177	1,296	897	20,870
Total Liabilities and Fund Balance	-	4,177	1,296	897	20,870

John W. Barriger III National Railroad Library  
Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

	April 1, 1997	June 30, 2008	2009	2010	Feb. 28, 2011
--	------------------	------------------	------	------	------------------

***Barriger Campaign for Excellence & Growth Expendable Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	122,243	80,922	79,335	103,847
Investment-UM Balanced Pool	-	-	-	-	-
Total Assets	-	122,243	80,922	79,335	103,847
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	975
Fund Balance	-	122,243	80,922	79,335	102,872
Total Liabilities and Fund Balance	-	122,243	80,922	79,335	103,847

***The West the Railroads Made Expendable Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	16,956	13,847	14,460	14,054
Investment-UM Balanced Pool	-	-	-	-	-
Total Assets	-	16,956	13,847	14,460	14,054
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	16,956	13,847	14,460	14,054
Total Liabilities and Fund Balance	-	16,956	13,847	14,460	14,054

John W. Barriger III National Railroad Library  
Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

	April 1, 1997	June 30, 2008	June 30, 2009	2010	Feb. 28, 2011
--	------------------	------------------	------------------	------	------------------

***Robert McKnight Manuscript Expendable Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	6,159	9,199	9,425	9,551
Investment-UM Balanced Pool	-	-	-	-	-
Total Assets	-	6,159	9,199	9,425	9,551
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	529	-	-	-
Fund Balance	-	5,630	9,199	9,425	9,551
Total Liabilities and Fund Balance	-	6,159	9,199	9,425	9,551

***John W. Barriger IV Endowment Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	-	-	-	-
Investment-UM Balanced Pool	-	5,172	7,520	13,590	21,123
Total Assets	-	5,172	7,520	13,590	21,123
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	5,172	7,520	13,590	21,123
Total Liabilities and Fund Balance	-	5,172	7,520	13,590	21,123

John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

	April 1, 1997	June 30, 2008	2009	2010	Feb. 28, 2011
--	------------------	------------------	------	------	------------------

***Robert McKnight Unitrust***

<b>Assets:</b>					
Investment-Unitrust	-	1,520,188	1,279,198	1,355,614	1,566,840
Total Assets	-	1,520,188	1,279,198	1,355,614	1,566,840
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	17,439	-
Fund Balance	-	1,520,188	1,279,198	1,338,175	1,566,840
Total Liabilities and Fund Balance	-	1,520,188	1,279,198	1,355,614	1,566,840

John W. Barriger III National Railroad Library  
Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

	April 1, 1997	June 30, 2008	2009	2010	Feb. 28, 2011
--	------------------	------------------	------	------	------------------

***John W. Barriger Professorship Endowed Fund***

	April 1, 1997	June 30, 2008	2009	2010	Feb. 28, 2011
<b>Assets:</b>					
Cash and Short-term Funds	-	-	-	-	-
Investment-UM Balanced Pool	550,000	875,792	688,199	723,867	791,274
Total Assets	550,000	875,792	688,199	723,867	791,274
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	550,000	875,792	688,199	723,867	791,274
Total Liabilities and Fund Balance	550,000	875,792	688,199	723,867	791,274

***John W. Barriger Professorship Expendable Fund***

	April 1, 1997	June 30, 2008	2009	2010	Feb. 28, 2011
<b>Assets:</b>					
Cash and Short-term Funds	-	466	1,319	1,192	20,036
Investment-UM Balanced Pool	-	-	-	-	-
Total Assets	-	466	1,319	1,192	20,036
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	1,299	1,102	-
Fund Balance	-	466	20	90	20,036
Total Liabilities and Fund Balance	-	466	1,319	1,192	20,036

John W. Barriger III National Railroad Library  
Special Collection of the St. Louis Mercantile Library at UM-St. Louis  
**INCOME STATEMENT AND CHANGES IN FUND BALANCE**  
For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			<u>8 Months Ended Feb. 28,</u>	<u>April 1, 1997 Through Feb. 28, 2011</u>
	<u>2008</u>	<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>2011</u>
<b>John W. Barriger III Library Endowed Fund</b>					
<b>Revenues:</b>					
Gifts	-	-	-	-	77,693
Investment Earnings	(18,988)	(67,740)	34,464	51,926	302,032
Total Revenues	(18,988)	(67,740)	34,464	51,926	379,725
<b>Expenses:</b>					
Endowment Management Fee	-	-	-	(3,474)	(3,474)
<b>NET INCOME</b>	(18,988)	(67,740)	34,464	48,452	376,251
Transfer to Expendable Fund	(17,705)	(18,767)	(18,016)	(17,367)	(212,630)
Fund Balance, Beginning	440,557	403,864	317,357	333,805	201,269
Fund Balance, End	403,864	317,357	333,805	364,890	364,890

**John W. Barriger III Library Expendable Funds\***

<b>Revenues:</b>					
Gifts	750	750	775	-	74,226
Investment Earnings	1,048	846	781	618	8,446
Other Revenue	-	-	-	-	27
Total Revenues	1,798	1,596	1,556	618	82,699
<b>Expenses:</b>					
Curator Salary & Benefits	-	-	-	-	(78,831)
Operating Expenses	(4,704)	(11,084)	(2,770)	(8,573)	(153,882)
Library Acquisitions	-	(10,983)	-	(1,901)	(22,877)
Total Expenses	(4,704)	(22,067)	(2,770)	(10,474)	(255,590)
<b>NET INCOME</b>	(2,906)	(20,471)	(1,214)	(9,856)	(172,891)
Transfer from Endowment	17,705	18,767	18,016	17,367	212,630
Fund Balance, Beginning	2,331	17,130	15,426	32,228	-
Fund Balance, End	17,130	15,426	32,228	39,739	39,739

\* From FY 1997 to FY 2000, there were two separate John W. Barriger III Library Expendable Funds

John W. Barriger III National Railroad Library  
Special Collection of the St. Louis Mercantile Library at UM-St. Louis  
**INCOME STATEMENT AND CHANGES IN FUND BALANCE**  
For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			<u>8 Months Ended Feb. 28,</u>	<u>April 1, 1997 Through Feb. 28, 2011</u>
	<u>2008</u>	<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>2011</u>
<b>Elizabeth T. Barriger Book Endowed Fund</b>					
<b>Revenues:</b>					
Gifts	-	-	-	-	13,971
Investment Earnings	(5,681)	(20,269)	10,313	15,536	87,222
Total Revenues	(5,681)	(20,269)	10,313	15,536	101,193
<b>Expenses:</b>					
Endowment Management Fee	-	-	-	(1,039)	(1,039)
<b>NET INCOME</b>	(5,681)	(20,269)	10,313	14,497	100,154
Transfer to Expendable Fund	(5,298)	(5,615)	(5,391)	(5,196)	(61,474)
Fund Balance, Beginning	131,819	120,840	94,956	99,878	70,499
Fund Balance, End	120,840	94,956	99,878	109,179	109,179

**Elizabeth T. Barriger Book Expendable Fund**

<b>Revenues:</b>					
Gifts	250	-	60	-	9,310
Investment Earnings	300	140	118	86	7,556
Total Revenues	550	140	178	86	16,866
<b>Expenses:</b>					
Operating Expenses	(883)	(55)	-	(146)	(14,954)
Library Acquisitions	(879)	(10,121)	(2,177)	(8,483)	(63,239)
Total Expenses	(1,762)	(10,176)	(2,177)	(8,629)	(78,193)
<b>NET INCOME</b>	(1,212)	(10,036)	(1,999)	(8,543)	(61,327)
Transfer from Endowment	5,298	5,615	5,391	5,196	61,474
Fund Balance, Beginning	437	4,523	102	3,494	-
Fund Balance, End	4,523	102	3,494	147	147

John W. Barriger III National Railroad Library  
Special Collection of the St. Louis Mercantile Library at UM-St. Louis  
**INCOME STATEMENT AND CHANGES IN FUND BALANCE**  
For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			<u>8 Months Ended Feb. 28,</u>	<u>April 1, 1997 Through Feb. 28, 2011</u>
	<u>2008</u>	<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>2011</u>
<b>Oral History Project Expendable Fund</b>					
<b>Revenues:</b>					
Gifts	-	-	-	-	2,750
Investment Earnings	752	430	339	189	7,970
Total Revenues	<u>752</u>	<u>430</u>	<u>339</u>	<u>189</u>	<u>10,720</u>
<b>Expenses:</b>					
Operating Expenses	-	(15)	(79)	-	(4,364)
Library Acquisitions	-	-	-	-	-
Total Expenses	<u>-</u>	<u>(15)</u>	<u>(79)</u>	<u>-</u>	<u>(4,364)</u>
<b>NET INCOME</b>	752	415	260	189	6,356
Fund Balance, Beginning	<u>12,693</u>	<u>13,445</u>	<u>13,860</u>	<u>14,120</u>	<u>7,953</u>
Fund Balance, End	13,445	13,860	14,120	14,309	14,309

**Photo Negative Project Expendable Fund (CLOSED IN 1999)**

<b>Revenues:</b>					
Gifts	-	-	-	-	-
Investment Earnings	-	-	-	-	169
Total Revenues	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>169</u>
<b>Expenses:</b>					
Operating Expenses	-	-	-	-	(1,051)
Library Acquisitions	-	-	-	-	-
Total Expenses	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>(1,051)</u>
<b>NET INCOME</b>	-	-	-	-	(882)
Fund Balance, Beginning	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>882</u>
Fund Balance, End	-	-	-	-	-

John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**INCOME STATEMENT AND CHANGES IN FUND BALANCE**

For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			<u>8 Months Ended</u>	<u>April 1, 1997</u>
	<u>2008</u>	<u>2009</u>	<u>2010</u>	<u>Feb. 28,</u>	<u>Through</u>
				<u>2011</u>	<u>Feb. 28,</u>
					<u>2011</u>
<b>AAR Scholarship Endowed Fund</b>					
<b>Revenues:</b>					
Gifts - Campaign for Excellence & Growth	-	-	-	-	10,000
Investment Earnings	(615)	(2,190)	1,115	1,679	6,265
Total Revenues	(615)	(2,190)	1,115	1,679	16,265
<b>Expenses:</b>					
Endowment Management Fee	-	-	-	(112)	(112)
<b>NET INCOME</b>	(615)	(2,190)	1,115	1,567	16,153
Transfer to Expendable Fund	(572)	(607)	(583)	(562)	(4,355)
Fund Balance, Beginning	14,245	13,058	10,261	10,793	-
Fund Balance, End	13,058	10,261	10,793	11,798	11,798

**AAR Scholarship Expendable Fund**

<b>Revenues:</b>					
Gifts - Campaign for Excellence & Growth	-	-	-	-	-
Investment Earnings	168	115	106	65	682
Total Revenues	168	115	106	65	682
<b>Expenses:</b>					
Operating Expenses	-	-	-	-	-
Scholarships	-	-	-	-	-
Total Expenses	-	-	-	-	-
<b>NET INCOME</b>	168	115	106	65	682
Transfer from Endowment	572	607	583	562	4,355
Fund Balance, Beginning	2,259	2,999	3,721	4,410	-
Fund Balance, End	2,999	3,721	4,410	5,037	5,037



John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis  
**INCOME STATEMENT AND CHANGES IN FUND BALANCE**  
 For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			<u>8 Months Ended</u>	<u>April 1, 1997</u>
	<u>2008</u>	<u>2009</u>	<u>2010</u>	<u>Feb. 28,</u>	<u>Through</u>
				<u>2011</u>	<u>Feb. 28,</u>
					<u>2011</u>
<b>Union Pacific Acquisitions Endowed Fund</b>					
<b>Revenues:</b>					
Gifts - Campaign for Excellence & Growth	-	-	-	-	47,500
Investment Earnings	(2,873)	(10,249)	5,215	7,917	24,671
Total Revenues	(2,873)	(10,249)	5,215	7,917	72,171
<b>Expenses:</b>					
Endowment Management Fee	-	-	-	-	-
<b>NET INCOME</b>	(2,873)	(10,249)	5,215	7,917	72,171
Transfer to Expendable Fund	(2,679)	(2,840)	(2,726)	(2,628)	(16,375)
Fund Balance, Beginning	66,659	61,107	48,018	50,507	-
Fund Balance, End	61,107	48,018	50,507	55,796	55,796

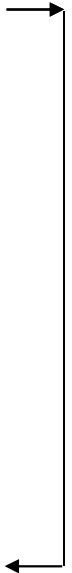
<b>Union Pacific Acquisitions Expendable Fund</b>					
<b>Revenues:</b>					
Gifts - Campaign for Excellence & Growth	-	-	-	-	2,500
Investment Earnings	372	251	252	172	1,485
Total Revenues	372	251	252	172	3,985
<b>Expenses:</b>					
Operating Expenses	(75)	-	-	-	(75)
Library Acquisitions	-	(2,138)	-	-	(6,936)
Total Expenses	(75)	(2,138)	-	-	(7,011)
<b>NET INCOME</b>	297	(1,887)	252	172	(3,026)
Transfer from Endowment	2,679	2,840	2,726	2,628	16,375
Fund Balance, Beginning	3,642	6,618	7,571	10,549	-
Fund Balance, End	6,618	7,571	10,549	13,349	13,349



John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis  
**INCOME STATEMENT AND CHANGES IN FUND BALANCE**  
 For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			<u>8 Months Ended</u>	<u>April 1, 1997</u>
	<u>2008</u>	<u>2009</u>	<u>2010</u>	<u>Feb. 28,</u>	<u>Through</u>
				<u>2011</u>	<u>Feb. 28,</u>
					<u>2011</u>
<b>CN Acquisitions Endowed Fund</b>					
<b>Revenues:</b>					
Gifts - Campaign for Excellence & Growth	-	-	-		20,000
Investment Earnings	(1,204)	(4,297)	2,186	3,294	10,543
Total Revenues	(1,204)	(4,297)	2,186	3,294	30,543
<b>Expenses:</b>					
Endowment Management Fee	-	-	-	(220)	(220)
<b>NET INCOME</b>	(1,204)	(4,297)	2,186	3,074	30,323
Transfer to Expendable Fund	(1,123)	(1,190)	(1,143)	(1,102)	(7,177)
Fund Balance, Beginning	27,945	25,618	20,131	21,174	-
Fund Balance, End	25,618	20,131	21,174	23,146	23,146

<b>CN Acquisitions Expendable Fund</b>					
<b>Revenues:</b>					
Gifts - Campaign for Excellence & Growth	-	-	-	-	-
Investment Earnings	235	173	164	105	904
Total Revenues	235	173	164	105	904
<b>Expenses:</b>					
Operating Expenses	-	-	-	-	-
Library Acquisitions	-	-	-	-	-
Total Expenses	-	-	-	-	-
<b>NET INCOME</b>	235	173	164	105	904
Transfer from Endowment	1,123	1,190	1,143	1,102	7,177
Fund Balance, Beginning	2,846	4,204	5,567	6,874	-
Fund Balance, End	4,204	5,567	6,874	8,081	8,081



John W. Barriger III National Railroad Library  
Special Collection of the St. Louis Mercantile Library at UM-St. Louis

## INCOME STATEMENT AND CHANGES IN FUND BALANCE

For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			<u>8 Months Ended Feb. 28,</u>	<u>April 1, 1997 Through Feb. 28, 2011</u>
	<u>2008</u>	<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>2011</u>
<b>Robert McKnight Curatorship Endowed Fund</b>					
<b>Revenues:</b>					
Gifts - Campaign for Excellence & Growth	-	-	-	-	1,000,000
Investment Earnings	(61,381)	(218,984)	111,414	169,133	453,232
Total Revenues	(61,381)	(218,984)	111,414	169,133	1,453,232
<b>Expenses:</b>					
Endowment Management Fee	-	-	-	-	-
<b>NET INCOME</b>	(61,381)	(218,984)	111,414	169,133	1,453,232
Transfer to Expendable Fund	(57,237)	(60,669)	(58,242)	(56,143)	(337,065)
Transfer from Barriger Campaign for E&G	-	-	-	-	75,920
Fund Balance, Beginning	1,424,196	1,305,578	1,025,925	1,079,097	-
Fund Balance, End	1,305,578	1,025,925	1,079,097	1,192,087	1,192,087

### **Robert McKnight Curatorship Expendable Fund**

<b>Revenues:</b>					
Gifts - Campaign for Excellence & Growth	-	-	-	-	-
Investment Earnings	1,509	1,031	707	457	5,937
Total Revenues	1,509	1,031	707	457	5,937
<b>Expenses:</b>					
Curator Salary & Benefits	(58,129)	(64,581)	(59,348)	(36,627)	(322,132)
Library Acquisitions	-	-	-	-	-
Total Expenses	(58,129)	(64,581)	(59,348)	(36,627)	(322,132)
<b>NET INCOME</b>	(56,620)	(63,550)	(58,641)	(36,170)	(316,195)
Transfer from Endowment	57,237	60,669	58,242	56,143	337,065
Fund Balance, Beginning	3,560	4,177	1,296	897	-
Fund Balance, End	4,177	1,296	897	20,870	20,870

John W. Barriger III National Railroad Library  
Special Collection of the St. Louis Mercantile Library at UM-St. Louis  
**INCOME STATEMENT AND CHANGES IN FUND BALANCE**  
For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			<b>8 Months Ended Feb. 28,</b>	<b>April 1, 1997 Through Feb. 28,</b>
	<u>2008</u>	<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>2011</u>
<b>Revenues:</b>					
Gifts - Campaign for Excellence & Growth	47,765	63,725	49,220	32,424	484,688
Investment Earnings	6,765	2,747	2,119	1,185	32,782
Other Revenue	-	-	853	1,399	2,252
Total Revenues	<u>54,530</u>	<u>66,472</u>	<u>52,192</u>	<u>35,008</u>	<u>519,722</u>
<b>Expenses:</b>					
Curator Salary & Benefits	-	-	-	-	-
Operating Expenses	(25,628)	(45,683)	(49,725)	(10,496)	(221,172)
Library Acquisitions	(31,315)	(62,110)	(4,054)	(975)	(119,758)
Total Expenses	<u>(56,943)</u>	<u>(107,793)</u>	<u>(53,779)</u>	<u>(11,471)</u>	<u>(340,930)</u>
<b>NET INCOME</b>	(2,413)	(41,321)	(1,587)	23,537	178,792
Transfer to Robert McKnight Curatorship					
Endowed Fund	-	-	-	-	(75,920)
Fund Balance, Beginning	<u>124,656</u>	<u>122,243</u>	<u>80,922</u>	<u>79,335</u>	<u>-</u>
Fund Balance, End	122,243	80,922	79,335	102,872	102,872

***The West the Railroads Made Expendable Fund***

<b>Revenues:</b>					
Gifts	106,009	20,000	-	-	254,111
Investment Earnings	5,923	466	347	189	10,698
Other Revenue	-	-	2,346	805	3,151
Total Revenues	<u>111,932</u>	<u>20,466</u>	<u>2,693</u>	<u>994</u>	<u>267,960</u>
<b>Expenses:</b>					
Operating Expenses	(171,604)	(23,575)	(2,080)	(1,400)	(253,906)
Library Acquisitions	-	-	-	-	-
Total Expenses	<u>(171,604)</u>	<u>(23,575)</u>	<u>(2,080)</u>	<u>(1,400)</u>	<u>(253,906)</u>
<b>NET INCOME</b>	(59,672)	(3,109)	613	(406)	14,054
Transfer from Endowment	-	-	-	-	-
Fund Balance, Beginning	<u>76,628</u>	<u>16,956</u>	<u>13,847</u>	<u>14,460</u>	<u>-</u>
Fund Balance, End	16,956	13,847	14,460	14,054	14,054

John W. Barriger III National Railroad Library  
Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**INCOME STATEMENT AND CHANGES IN FUND BALANCE**  
For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			<u>8 Months Ended Feb. 28,</u>	<u>April 1, 1997 Through Feb. 28,</u>
	<u>2008</u>	<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>2011</u>
<b>Revenues:</b>					
Gifts	-	5,000	-	-	15,000
Investment Earnings	447	237	226	126	1,331
Total Revenues	<u>447</u>	<u>5,237</u>	<u>226</u>	<u>126</u>	<u>16,331</u>
<b>Expenses:</b>					
Operating Expenses	(3,226)	(1,668)	-	-	(6,780)
Library Acquisitions	-	-	-	-	-
Total Expenses	<u>(3,226)</u>	<u>(1,668)</u>	<u>-</u>	<u>-</u>	<u>(6,780)</u>
<b>NET INCOME</b>	(2,779)	3,569	226	126	9,551
Transfer from Endowment	-	-	-	-	-
Fund Balance, Beginning	<u>8,409</u>	<u>5,630</u>	<u>9,199</u>	<u>9,425</u>	<u>-</u>
Fund Balance, End	5,630	9,199	9,425	9,551	9,551

***John W. Barriger IV Endowed Fund***

<b>Revenues:</b>					
Gifts	5,330	2,970	5,351	5,032	18,683
Investment Earnings	(158)	(622)	719	2,501	2,440
Total Revenues	<u>5,172</u>	<u>2,348</u>	<u>6,070</u>	<u>7,533</u>	<u>21,123</u>
<b>Expenses:</b>					
Endowment Management Fee	-	-	-	-	-
Total Expenses	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
<b>NET INCOME</b>	5,172	2,348	6,070	7,533	21,123
Transfer to Expendable Fund	-	-	-	-	-
Fund Balance, Beginning	<u>-</u>	<u>5,172</u>	<u>7,520</u>	<u>13,590</u>	<u>-</u>
Fund Balance, End	5,172	7,520	13,590	21,123	21,123

John W. Barriger III National Railroad Library  
Special Collection of the St. Louis Mercantile Library at UM-St. Louis  
**INCOME STATEMENT AND CHANGES IN FUND BALANCE**  
For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			<u>Ended</u>	<u>Through</u>
	<u>2008</u>	<u>2009</u>	<u>2010</u>	<u>Feb. 28,</u>	<u>Feb. 28,</u>
				<u>2011</u>	<u>2011</u>
<b>Robert McKnight Unitrust</b>					
<b>Revenues:</b>					
Gift to Unitrust 4/20/98	-	-	-	-	1,729,375
Investment Earnings	(71,745)	(170,005)	124,405	263,543	846,236
Total Revenues	(71,745)	(170,005)	124,405	263,543	2,575,611
<b>Expenses:</b>					
Payments to Beneficiary	(81,505)	(70,985)	(65,428)	(34,878)	(1,008,771)
<b>NET INCOME</b>	(153,250)	(240,990)	58,977	228,665	1,566,840
Fund Balance, Beginning	1,673,438	1,520,188	1,279,198	1,338,175	-
Fund Balance, End	1,520,188	1,279,198	1,338,175	1,566,840	1,566,840

John W. Barriger III National Railroad Library  
Special Collection of the St. Louis Mercantile Library at UM-St. Louis  
**INCOME STATEMENT AND CHANGES IN FUND BALANCE**  
For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			<b>8 Months Ended Feb. 28,</b>	<b>April 1, 1997 Through Feb. 28,</b>
	<u>2008</u>	<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>2011</u>
<b>John W. Barriger Professorship Endowed Fund</b>					
<b>Revenues:</b>					
Gifts	-	-	-	-	-
Investment Earnings	(41,175)	(146,896)	74,737	112,602	645,376
Total Revenues	(41,175)	(146,896)	74,737	112,602	645,376
<b>Expenses:</b>					
Endowment Management Fee	-	-	-	(7,533)	(7,533)
<b>NET INCOME</b>	(41,175)	(146,896)	74,737	105,069	637,843
Transfer (to) from Expendable	(38,395)	(40,697)	(39,069)	(37,662)	(396,569)
Fund Balance, Beginning	955,362	875,792	688,199	723,867	550,000
Fund Balance, End	875,792	688,199	723,867	791,274	791,274

**John W. Barriger Professorship Expendable Fund**

<b>Revenues:</b>					
Gifts	-	-	-	-	-
Investment Earnings	1,488	755	721	420	25,689
State Match	38,713	41,036	39,394	37,974	455,566
Other Revenue	-	-	-	-	29
Total Revenues	40,201	41,791	40,115	38,394	481,284
<b>Expenses:</b>					
Center for Transportation Studies	(78,246)	(82,934)	(79,114)	(56,110)	(857,817)
<b>NET INCOME</b>	(38,045)	(41,143)	(38,999)	(17,716)	(376,533)
Transfer (to) from Endowment	38,395	40,697	39,069	37,662	396,569
Fund Balance, Beginning	116	466	20	90	-
Fund Balance, End	466	20	90	20,036	20,036